

1 **Title: Complexity in the Spatial Utilization of Rangelands: Pastoral Mobility in**
2 **the Horn of Africa**

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16 **Abstract**

17 Extensive movement is a key strategy for pastoralists to ensure adequate forage intake for livestock while
18 distributing grazing pressure throughout the landscape. However, the complexity of pastoral mobility was
19 overgeneralized in previous research, which often leads to sedentarization-oriented policy-making. Based
20 on continuous GPS-tracking of cattle movement over seven months and pastoralist knowledge of
21 mobility, we investigated spatial rangeland utilization patterns in five study sites across the Borana Zone
22 of southern Ethiopia. By quantifying the extent of movement, density of utilization, and recursive use of
23 rangelands, we found highly diverse mobility patterns and resource-use strategies even within a single
24 study region. Rather than the central-place model, pastoral mobility patterns in Borana can be
25 characterized using restrictive, semi-extensive, or extensive herding models. The research findings
26 suggest that sedentarization largely results in compromised mobility. Thus, we recommend both intra-
27 and inter-community coordination to reduce recursive use of rangelands and mitigate degradation.

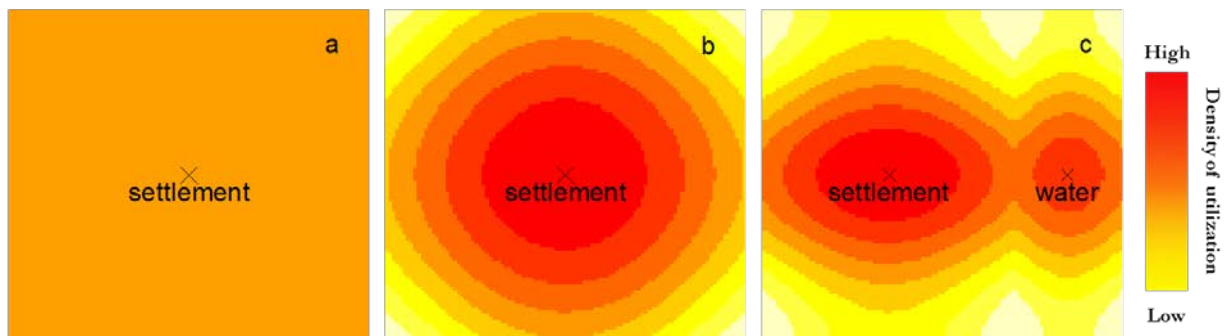
28 Key words: mobility; pastoralism; spatial utilization pattern; GPS-tracking; Ethiopia

29 **1. Introduction**

30 Being mobile is an important strategy adopted by millions of pastoralists worldwide to ensure adequate
31 forage intake for livestock while maintaining rangeland ecosystem sustainability (Brown, 1971;
32 Coughenour et al., 1985; Coughenour, 1991; Homewood, 2008; Smith, 1992). Forage quality and
33 productivity within a single patch of arid or semi-arid land (ASAL) can vary vastly both intra- and inter-
34 annually in response to changes in precipitation and other environmental conditions (Behnke et al., 1993).
35 Consequently, rather than fixed control of a specific piece of land, pastoralists in ASAL typically require
36 flexible access to multiple pastures in well-dispersed and strategic locations in order to meet the
37 nutritional demands of livestock and better distribute grazing pressure throughout the landscape (Marin,
38 2010; Turner et al., 2014).

39 Early attempts to study pastoral mobility yielded conceptual models based on long-term field
40 observation and ethnographic investigation (Coppock, 1994; Spencer, 1973). These models largely
41 assumed forage distribution as the global driver of broad-scale migration, and daily herding management
42 as the local driver of grazing pressure distribution (Coppolillo, 2001). One of these models proposed that
43 grazing intensity is evenly distributed within a defined distance from pastoral settlements (Homewood &
44 Rodgers, 1991) (Figure 1a). This means that grazing intensity is uniform with regard to direction from
45 settlement. Another model predicted that grazing intensity decreases as it gets farther away from
46 settlement, and the rate of change in grazing intensity is uniform regardless of direction from settlement
47 (Spencer, 1973) (Figure 1b). In this case, pastoral resource-use patterns could be characterized by the
48 central-place model (Coppolillo, 2001) or as a piosphere (Lange, 1969). The area near settlement is
49 subject to heavy and recursive livestock use, thus becoming a ‘sacrifice zone.’ A ‘transition zone’ occurs
50 farther from settlement and is represented by steadily decreasing grazing pressure. At distant locations
51 from settlement, grazed zones gradually give way to areas which are rarely visited or influenced by
52 livestock grazing. A third model added environment variables such as water into consideration, and
53 proposed that grazing intensity decreases as it gets farther away from settlement, but livestock move

54 between the settlement and a point source of drinking water as they need to be watered on a regular basis
55 (Western, 1975) (Figure 1c).



56

57 Figure 1. Three conceptual models of pastoral mobility with distinct patterns of spatial utilization.

58 By synthesizing the above pastoral mobility models, a diachronic model of grazing pressure was
59 proposed to characterize the spatio-temporal variations in grazing pressure as a response to resource
60 availability variations within the year (Moritz et al., 2010). This diachronic model predicted that after the
61 rain season starts, pastoralists would first use the patches of rangelands with better forage quality and less
62 travel cost from settlement. However, by the end of dry season, cumulative grazing pressure would be
63 evenly distributed within their extent of movement.

64 The conceptual models above provided valuable insights into rangeland management and pastoral
65 policy-making in ASAL; however, these models were largely confined to characterizing livestock herding
66 around settlements. Empirical research suggests that pastoralists move far beyond their settlement areas in
67 search for greener pasture, exhibiting more complex mobility patterns than described in the models above
68 (Brottem et al., 2014). For example, in the Borana pastoral system of southern Ethiopia, a mixture of
69 home-based herding (known as *worra*) and satellite-based herding (known as *forra*) has been practiced
70 for centuries (Coppock, 1994; Wario et al., 2016). *Forra* herding is a key strategy for Boran pastoralists
71 to make use of distant under-utilized rangelands during dry seasons.

72 However, sparse evidence was collected to investigate extensive movement beyond settlement
73 areas, which is commonly practiced on the rangelands in Africa and Asia (Liao et al., 2014; Niamir-
74 Fuller, 1999). Although indirect measurements derived from observations, interviews, participatory
75 mapping, and household surveys could be used to infer pastoral mobility at multiple scales (Brottem et
76 al., 2014; Homewood & Lewis, 1987), data collected using these approaches was usually of limited
77 accuracy and reliability. While revealing broad-scale seasonal migration routes, details of fine-scale
78 movement and cumulative rangeland utilization patterns can hardly be derived from such data.

79 Often due to the lack of sufficient understanding of pastoral mobility and spatial patterns of
80 rangeland utilization, government entities have used partial, anecdotal, and perhaps erroneous evidence to
81 design policies to sedentarize pastoralists and transform their livelihoods. Pastoralists are commonly
82 accused of being collectively ‘irrational’ – albeit individually rational – assuming that each individual
83 attempts to maximize livestock production from limited rangelands without considering environmental
84 consequences (Hardin, 1968). Correcting such accusation about pastoralists requires more accurate
85 models of pastoral mobility, in which quantitative monitoring data is crucial to reveal and predict pastoral
86 resource-use patterns under current and projected socio-environmental conditions.

87 With the emergence of GPS-tracking technology and spatial analysis tools, tremendous progress
88 has been made in the study of pastoral mobility. Portable GPS instruments were installed on domesticated
89 animals to study their movement patterns under the free-ranging, unfenced situations typical of the
90 African and Asian rangelands (Adriansen & Nielsen, 2002; Butt et al., 2009; Coppolillo, 2000;
91 Kawamura et al., 2005; Moritz et al., 2010; Schlecht et al., 2004). These efforts generated valuable
92 information on how livestock moved within their unique contexts.

93 Significant shortcomings, however, still exist in the modeling of pastoral mobility. This is
94 primarily because extensive movement behaviors (e.g. camp relocation) were rarely captured in previous
95 studies. Short battery lifespan required frequent recapture of livestock, data downloading, and battery
96 recharging or replacement. Intensive labor was required, and there were substantial risks to personnel who

97 regularly followed livestock into potentially dangerous areas (Butt et al., 2009). Therefore, the collected
98 data was generally limited in space and time. Without continuous and intensive tracking data on livestock
99 movement, it is impossible to assess the cumulative resource-use patterns and camp relocation strategies
100 practiced in the extensive ASAL grazing systems.

101 The goal of this paper is to evaluate the complexity in spatial utilization patterns by pastoralists and
102 investigate the socio-environmental factors which drive and shape this complexity. Our research seeks to
103 address the knowledge gaps on pastoralist extensive herding practices by using custom-built, high-
104 performance GPS collars (Clark et al., 2006) deployed at multiple study sites to monitor livestock
105 movement. This advanced GPS-tracking technology allowed intensive and continuous collection of
106 livestock movement data at relatively low cost, which made it possible to examine fine-scale, cross-
107 season movement patterns and compare how mobility strategies vary in different herding contexts. The
108 specific objectives are to: 1) determine how and why pastoral mobility vary across different socio-
109 environmental contexts in the Borana Zone of southern Ethiopia; 2) test the validity of the central-place
110 model by examining the relationship between density of utilization by livestock and distance from
111 settlements; and 3) investigate how the extents of livestock movement increase on a daily basis and their
112 implications on recursive use of rangelands.

113

114 **2. Methods**

115 2.1. Study area and sample selection

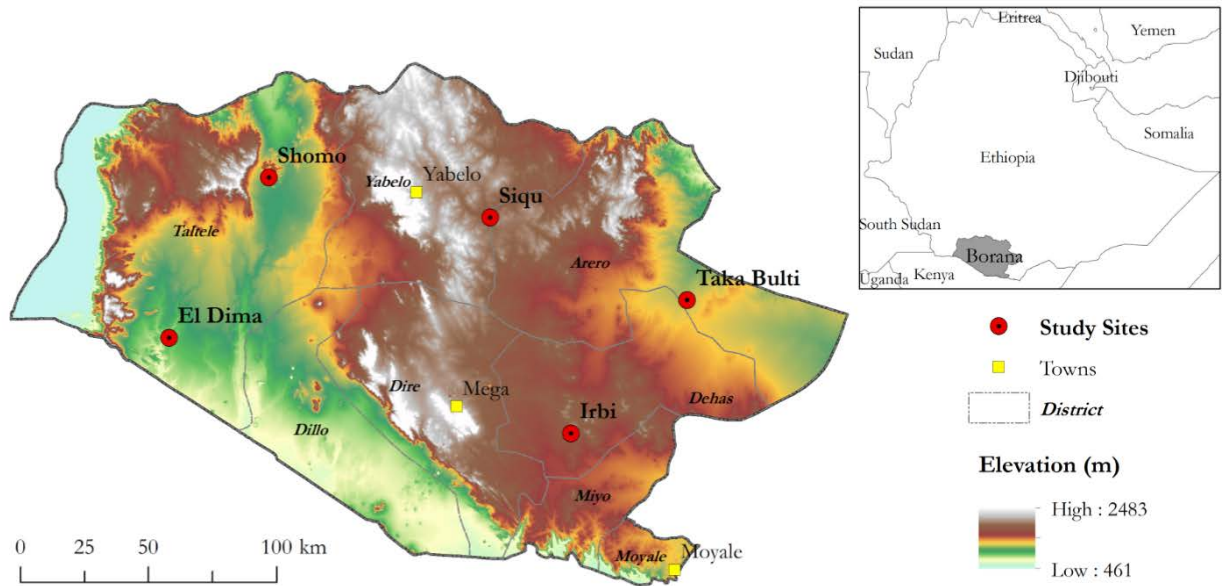
116 Our empirical research was conducted in the Borana Zone of southern Ethiopia, an arid and semi-arid
117 region where pastoralism is the primary livelihood strategy. About 43000 km² in size, this region is home
118 to over 350000 people with a livestock population around one million (Coppock, 2016). The vegetation is
119 mainly mixed savanna, which is increasingly encroached by *Acacia* and *Commiphora* woody species
120 (Angassa & Oba, 2008). Elevation ranges from 500 m to 2500 m, with terrain varying from steep

121 highland slopes to flat, dry river and lake beds in the lowlands. Mean annual rainfall ranges from 300 mm
122 in the lowlands to 1000 mm in the highlands. Annual precipitation distribution is bimodal, with 60% in
123 the primary rain season (April to May) and 30% in the secondary rain season (October to November) each
124 year (Coppock, 1994).

125 Livestock herding, as the major livelihood strategy of Boran pastoralists, is generally practiced in
126 two forms. Home-based herding (*worra*) involves the herding of lactating cows, calves, and ruminants
127 close to settlements. In this form of herding, livestock are herded to nearby grazing sites during the day,
128 and taken back to settlement villages in the evening on a daily basis. Satellite-based herding (*forra*)
129 involves multiple temporary camps to graze livestock at substantial distances beyond one-day reach and
130 have access to better forage than what is available near settlements. One household can practice both
131 forms of herding simultaneously through herd splitting.

132 The selection of study sites was conducted at the *reera*¹ level. The selection process accounted for
133 representativeness of local pastoral system, accessibility by four-wheel-drive vehicles, and size of cattle
134 herds. In addition, it was also ensured that selected study sites had at least 50 households in order to draw
135 household samples from sufficiently large populations (International Livestock Research Institute, 2011).
136 Based on these criteria, five *reera*, namely Siqu, Shomo, Irbi, Taka Bulti and El Dima, were selected as
137 study sites to represent the diversity of socio-environmental contexts across the Borana Zone (Figure 2).

¹ Administrative units in Ethiopia follow the descending order of extent: nation, region, zone, district (*woreda*), and sub-district (*kebele*). *Kebele* is further sub-divided into sub-*kebele* as *reera* in the Borana Zone.



138

139 Figure 2. The Borana Zone and the five selected study sites in southern Ethiopia.

140 Selection of participant households in each study site was based on herd size. Households were
 141 first stratified according to the number of cattle owned. In order to restrict the sample to households
 142 relying primarily on livestock herding as a livelihood, those whose cattle herd sizes fell within the bottom
 143 35% of the *reera* population were excluded from sampling. In addition, the households within the top 5%
 144 of cattle ownership were also excluded to avoid the inclusion of commercial producers. The remaining
 145 households from each *reera* were divided into four herd-size groups: 35-50%, 50-65%, 65-80%, and 80-
 146 95% of the maximum herd size for the corresponding *reera*. One household was then randomly selected
 147 from each group from the five *reera*.

148

149 2.2. GPS-tracking

150 In August 2011, three mature cows from 20 selected households were fitted with custom-built GPS-
 151 tracking collars. These collars were capable of recording geographic locations every five minutes for six
 152 months or more without service or battery refit. The high-performance collars were also lightweight, with

153 each accounting for less than 0.3% of the body mass of a mature cow in Borana, thus having minimal
154 impact on cattle movement. Of the 60 deployed collars, 58 collected viable data, with an average data set
155 being 135 days in length. Twenty of these collars collected data for periods exceeding 200 days. Since the
156 principal aim of this research was to assess cumulative spatiotemporal utilization patterns and recursive
157 use of rangelands, it is necessary to choose collars that were functional throughout the entire tracking
158 period spanning. Because there were only two long-standing collars in two sites, we decided to choose
159 two collars that collected data for the longest period in each site to make cross-site comparison consistent.
160 This subset of data, collected from August 2011 to March 2012, spanned both wet and dry seasons and
161 included 582542 GPS locations. Collection of continuous, herd movement data across multiple sites and
162 seasons allowed us to develop a broader and deeper understanding of pastoral mobility than would have
163 been possible without this advanced technology.

164

165 2.3. Participatory mapping and interview

166 Although pastoral mobility patterns can largely be inferred from the intensively sampled GPS-tracking
167 data described above, well-rounded interpretation of these patterns requires substantial input from
168 pastoralists. In order to obtain in-depth understanding of pastoral mobility patterns in Borana, we
169 interviewed household heads, and conducted participatory mapping with these pastoralists and three
170 community elders at each study site in July 2013. During the interviews, we validated the movement
171 patterns inferred from GPS-tracking data, such as camp locations, travel distances, and extent of
172 movement that were typical for these herds and the *reera* they represented. In addition, we investigated
173 the factors affecting pastoralist decision-making in each *reera* including environmental conditions,
174 conflicts within and among communities, the practice of crop cultivation, settlement patterns, and
175 development interventions by government agencies and non-government organizations. Follow-up
176 validation interviews and mapping were conducted in May 2014 to correct any misinterpretation of
177 herding strategies we inferred from mobility pattern assessments.

178

179 2.4. Data processing and analysis

180 We use the term ‘pastoral mobility’ to refer to the movement pattern, which is produced by a combination
181 of cattle-dominated behaviors (i.e., freely grazing) and human-driven behaviors (i.e., actively herded or
182 night-corralled). Since the Boran cattle herds are gregarious and tightly herded, we assumed the
183 movement of a single collared individual represented the mobility patterns exhibited by the entire herd
184 (Moritz et al., 2012).

185 Data processing began by first identifying and removing erroneous GPS locations using a travel
186 distance threshold of 1000 m for each 5-min interval between observations. This threshold represents a
187 sustained velocity of collared cattle traveling at least 12 km/h. The Boran cattle (*Bos indicus*) rarely run at
188 this velocity for a sustained period of time. Thus, data indicating this excessive rate of movement almost
189 certainly contained substantial location error and thus were dropped from the dataset.

190 Rather than using minimal convex polygon that is likely to overestimate the extent of movement,
191 we performed a vector-based analysis to calculate the extent of movement for each collared cow. First,
192 each location point was buffered to accommodate a conservative estimate of location error (< 50 m). By
193 doing so, each location point was converted to a circular polygon with a 50-m radius. Then we dissolved
194 these individual polygons into an aggregated polygon to represent the observed movement extent for each
195 cow.

196 We used a mixed raster-vector approach to quantify the density of utilization for each cow, which
197 is defined as the number of observations per unit of space (Adriansen & Nielsen, 2005). First, the
198 movement extent polygon of the cow was rasterized into 50 m by 50 m cells. We assumed this 2500-m²
199 cell size would approximate the area traversed by the Boran cattle during a 5-min interval while actively
200 foraging. Next, this raster was overlain with the point-location data and the number of locations occurring

201 within each raster cell were counted. Finally, these raster patterns in density of utilization were compiled
202 for display and reported in terms of points/km².

203 By overlaying density of utilization images with features mapped by pastoralists, we found clear
204 linkages between GPS-based density of utilization and pastoralist-reported land use patterns such as base
205 and satellite camp locations, travel corridors, and principal foraging areas. While at camp during the
206 nighttime hours, about 22:00-04:00 local time, cattle were typically enclosed within night-corral or
207 circular bush fences about 20-50 m in diameter to reduce predation and theft losses. Consequently, with
208 cattle movement constrained to these small areas, it was a straightforward process to identify camp
209 locations based on the density of utilization data alone. Base camp locations at all study sites typically
210 exhibited a density exceeding 100000 points/km² (2500 points/cell), and potential camp locations could
211 be located by identifying sets of adjacent raster cells with the highest density of utilization. In contrast,
212 density of utilization at satellite camp locations was orders of magnitude lower than that of base camps.
213 Their locations were determined by specifying that the tracked cow returned to the same place for over
214 three consecutive days. We then used high-resolution satellite imagery available for display through
215 Google Earth™ and Bing Maps™ to confirm the presence of night-corral and dwelling structures at these
216 camp locations.

217 In order to investigate the relationship between the density of utilization and distance from base
218 camp locations, we extracted cell values from density of utilization images, and estimated the distance of
219 each cell to base camps. We first performed a logarithm transformation on the response variable so that
220 the regression model assumptions held. Then, we tested the central-place model prediction that as
221 distance from base camp increases, density of utilization will decrease. We applied both ordinary least
222 squares (OLS) models and general additive models (GAM) (Wood, 2013) in each study site to accomplish
223 this test. The OLS model can be represented as:

$$224 \quad \log(Density) = \beta_0 + \beta_1 Distance + \varepsilon \quad (1)$$

225 where β_0 is intercept; β_1 is coefficient of distance; ε is the error and $\varepsilon \sim N(0, s^2)$. The GAM can be
226 represented as:

$$227 \quad \log(Density) = \beta_0 + f(Distance) + \varepsilon \quad (2)$$

228 where β_0 is intercept; $f(Distance)$ is non-linear and is subject to smoothing splines; ε is the error and $\varepsilon \sim$
229 $N(0, s^2)$.

230 Recursive use and subsequent adverse environmental impacts could be indicated by stability in
231 cumulative extent of cattle movement over time (Benhamou & Riotte-Lambert, 2012). In other words, if
232 cattle are herded over the same areas day after day, their cumulative movement extent would tend to
233 remain fairly stable in size. We used a vector-based approach to calculate the cumulative extent of
234 movement for collared cattle over time at the daily level. For each cow, we first derived a polygon
235 representing the movement extent from each day of tracking. Next, for first two days, we merged the first
236 two polygons; for first three days, first three polygons; and so until finally, for the entire tracking period,
237 all polygons were merged. In order to compare across study sites, we converted the response variable into
238 percentages of the maximum movement extent of each cow. Then we used GAM to investigate how
239 tracking duration and study site are correlated with the percentage of cumulative movement range. The
240 model can be represented as:

$$241 \quad \text{Percentage of Cumulative Movement Range} = \beta_0 + f(Duration) + \beta_1 \text{ Site} + \varepsilon \quad (3)$$

242 where, percentage of cumulative movement range is the response variable; β_0 is the intercept;
243 $f(Duration)$ is a non-linear predictor; β_1 is coefficient of site; ε is the error and $\varepsilon \sim N(0, s^2)$. All data
244 analysis in this research was performed in the R statistical software (R Development Core Team, 2014).

245

246 **3. Results**

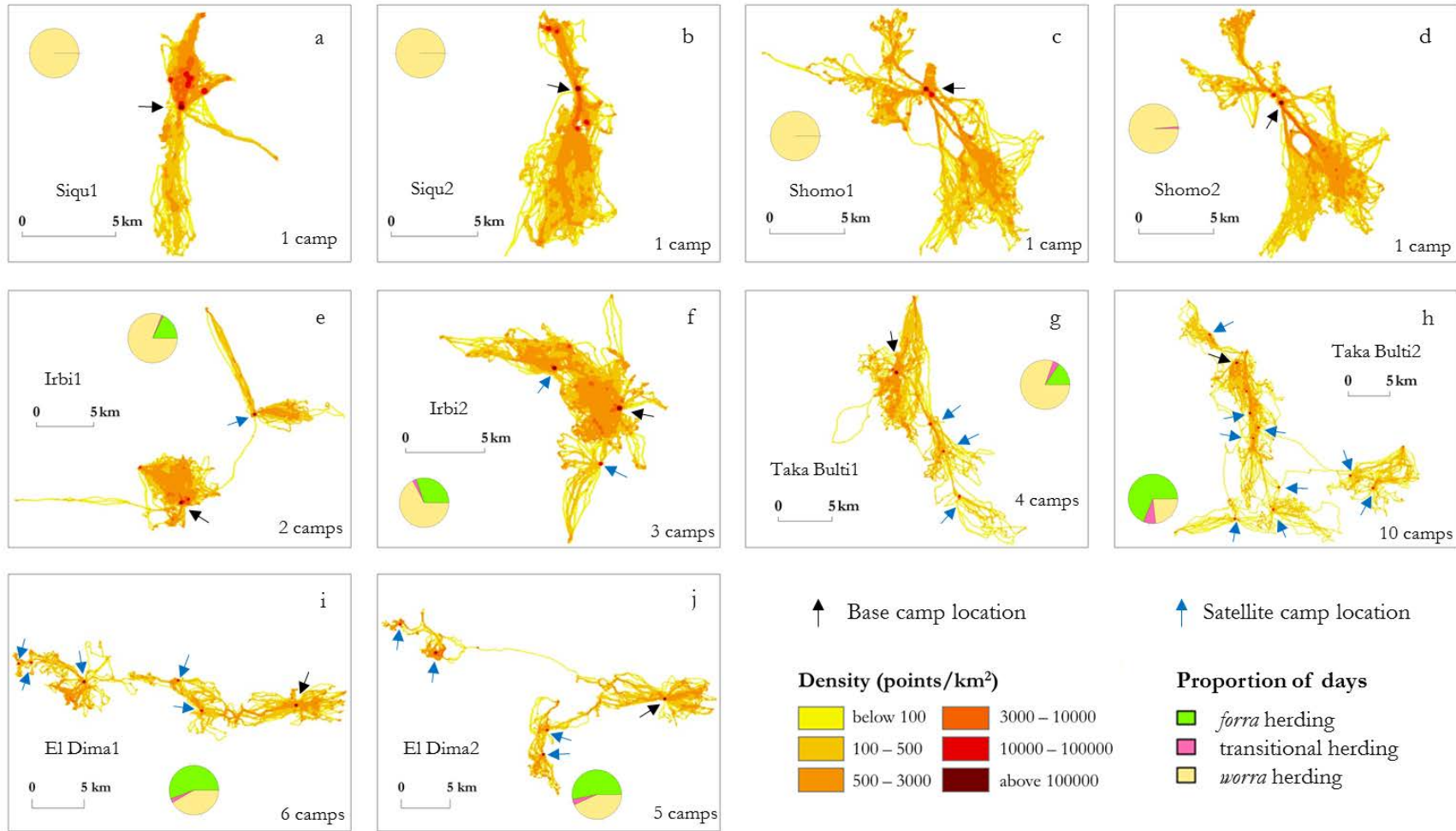
247 3.1. Pastoral mobility patterns

248 The 10 cows in this study were monitored by GPS collars over a continuous tracking period ranging from
249 205 to 218 days. We acquired 55809 to 60196 location observations (i.e. coordinates, date, time, GPS fix
250 quality info, etc.) for each collared cow (Table 1). The extent of movement of collared cows ranged from
251 20 km² in Siqu to 116 km² in Taka Bulti. Larger movement extents allowed for more dispersed utilization
252 patterns. Correspondingly, the mean density of utilization observed in Siqu (2983 points/km²) was nearly
253 six-fold larger than that observed in Taka Bulti (500 points/km²).

254 Table 1. Summary of GPS-tracking data acquired from cows of two pastoral households in each study
 255 site in Borana, Ethiopia.

Cow ID	Start	End	Duration (days)	Observations	Movement Extent (km ²)	Density (points/km ²)
Siqu1	20-Aug-11	25-Mar-12	218	60196	20	2983
Siqu2	20-Aug-11	21-Mar-12	214	56602	35	1630
Irbi1	27-Aug-11	24-Mar-12	210	57800	42	1364
Irbi2	21-Aug-11	13-Mar-12	205	55809	43	1284
Shomo2	22-Aug-11	23-Mar-12	214	58749	52	1125
Shomo1	22-Aug-11	24-Mar-12	215	58749	54	1081
El Dima2	25-Aug-11	24-Mar-12	212	58852	52	1133
El Dima1	25-Aug-11	25-Mar-12	213	58194	56	1042
Taka Bulti1	21-Aug-11	25-Mar-12	217	59839	59	1008
Taka Bulti2	28-Aug-11	25-Mar-12	210	57752	116	500

256



257

258 Figure 3. Spatial patterns of density of utilization by cows from two pastoralist households in five study sites in Borana, Ethiopia. Locations of
 259 base and satellite camps are identified by arrows.

260 Pastoral mobility patterns varied substantially across study sites (Figure 3). This variability was
261 reflective of different herding strategies practiced across sites. In Siqu, the two households practiced a
262 *worra*-only herding strategy (Figures 3a and 3b). Consequently, no satellite camps were employed and
263 the herds returned to their base camps each evening after grazing at distant foraging areas (Figure S1).
264 Contrary to the simple mobility models in the literature, directionality of movement relative to the camp
265 location was rarely uniform. Leaving their base camp, Siqu pastoralists either herded their animals
266 towards north or south. This obvious north-south pattern was largely driven by government
267 sedentarization efforts that had occurred in past decades, in which pastoralists were settled along the main
268 road extending east-west between Yabelo and Arero (Watson, 2003). Due to the presence of neighboring
269 settlements and their designated grazing areas, there were few foraging opportunities to the east or west of
270 base camps. Consequently, density of utilization within these north-south travel corridors reached up to
271 5000 points/km², suggesting heavy recursive use on these lands.

272 Similar to Siqu, well-used travel corridors were also evident in the spatial utilization maps of
273 Shomo pastoralists (Figures 3c and 3d). For most of the study period, the collared cows traveled each day
274 to and from foraging areas in the southeast along fixed corridors which were nearly 4 km in length. These
275 corridors were displayed in Figure 3c and 3d as linear, dark orange features radiating from the base camps
276 towards southeast and indicated a density of 3000-10000 points/km² before the cattle dispersed into their
277 principal foraging areas. This pattern of using travel corridors to and from distant foraging areas and little
278 grazing activity occurring near base camps was largely due to the human settlement pattern in and around
279 the community center. Village dwellings, livestock corrals, fenced crop fields, and rangeland reserves
280 occurred as clusters around the community center, strongly confining the available area for livestock use
281 to these narrow travel corridors between camps and distant foraging areas to the southeast. The overall
282 direction of movement shifted, however, under the drier conditions, which occurred late in the tracking
283 period. At those times, pastoralists herded their animals in the opposite direction towards higher elevation
284 foraging areas in the hills to the northwest of base camps.

285 Like Siqu, only *worra*-herding was practiced in Shomo (Figure 3c and 3d). Although Shomo2
286 household changed their base camp location, the relocation was within the same village, which could not
287 be considered evidence of *forra* herding. Average movement extent of Shomo pastoralists, however, was
288 almost twice that of their Siqu counterparts, which resulted in lower overall density of utilization (Table
289 1).

290 In contrast to Siqu and Shomo, pastoralists in Irbi practiced a different herding strategy that
291 included both *worra* and *forra* herding (Figures 3e and 3f, Figure S1). Since there were fewer fenced crop
292 fields and rangeland reserves in this site, the herds did not have to follow fixed travel corridors starting
293 from base camps. The collared cow of Irbi1 spent 17% of the entire study period at the household's only
294 satellite camp, which was about 12 km from base camp (Figure 3e). The cow of Irbi2 spent almost a third
295 of its time at two satellite camps, which were both about 6 km from base camp but in opposite directions
296 (Figure 3f). The movement extents of both cows in Irbi appeared to be smaller and the overall density of
297 utilization greater than those of Shomo (Table 1). This was probably because Irbi pastoralists had more
298 directional choices in their *worra* herding practices and did not have to follow fixed corridors to reach
299 distant foraging locations.

300 Cattle from the two households in Taka Bulti exhibited an extensive movement pattern (Figures 3g
301 and 3h). Drier environmental conditions common in this area forced pastoralists to utilize rangelands far
302 from their base camps. Consequently, Taka Bulti households practiced both *worra* and *forra* herding.
303 Most satellite camps were set along seasonal migration routes leading towards their most distant grazing
304 areas, which were over 25 km away from their base camps. The density of utilization by Taka Bulti
305 pastoralists was distinct from the other study sites, as their extent of movement was larger, resulting in
306 highly dispersed utilization patterns within the extents of movement. It is also important to recognize the
307 intra-site variation in movement patterns, in which Taka Bulti2 moved more extensively than Taka Bulti1.
308 This highly-dispersed movement pattern exhibited by Taka Bulti2 with over two thirds of the entire

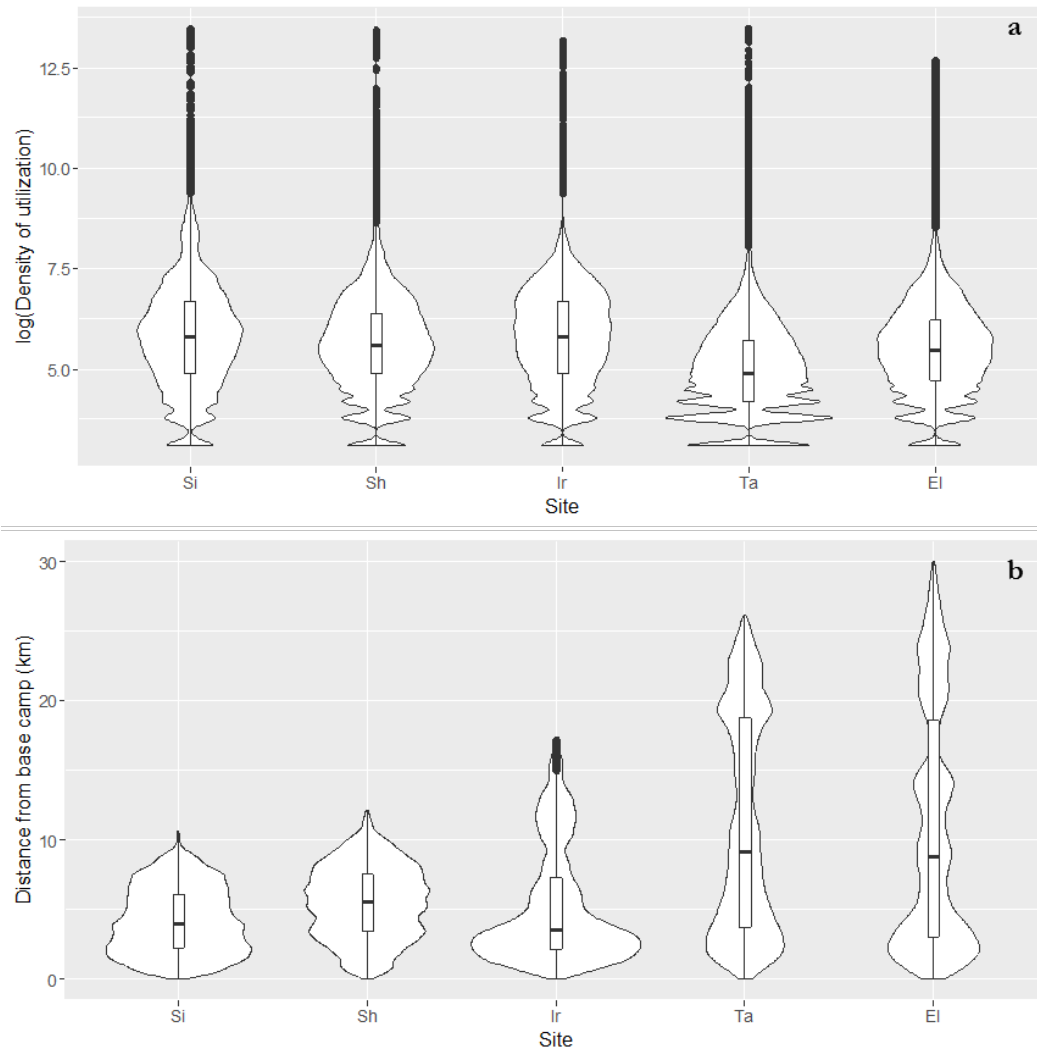
309 tracking period at nine different satellite camps, consequently, resulted in the lowest overall density of
310 utilization among the 10 households in this study (Table 1).

311 Extensive movement patterns were also observed for pastoralists in El Dima. Cattle from both
312 households spent over half of the study period at satellite camps (Figures 3i and 3j, Figure S1). In contrast
313 to Taka Bulti where satellite camps were set along migration corridors, in El Dima, *forra* grazing
314 opportunities largely existed in the hills as far as 30 km west of their base camps. During the dry seasons,
315 rangeland condition in the hills was much better than in the lowland plains surrounding the base camps.
316 In addition, there were no human settlements and associated *worra* herds in these hills to compete for
317 resources, making this area ideal for *forra* herding.

318

319 3.2. Density of utilization

320 Density of utilization within the extent of movement differed substantially among the five study sites. The
321 shapes of violin plots showed that Taka Bulti had the widest bottom part, suggesting that cells of low
322 density accounted for a large proportion in their extents of movement (Figure 4a). This was followed by
323 El Dima where, although the movement extent was smaller, a large proportion of that extent exhibited
324 low density of utilization. Violin plots for the three remaining study sites demonstrated much narrower
325 bottom parts. Although Irbi pastoralists practiced *forra* herding, most of their livestock herding was
326 conducted around base camps. While Shomo and El Dima pastoralists had similar movement extents,
327 distribution of density of utilization was different, in which the top part of violin shape of Shomo is wider
328 and bottom part narrower than El Dima. This indicates that although Shomo pastoralists had a relatively
329 large extent of movement, the rangelands were still subject to recursive use as the households were
330 largely sedentarized and livestock were herded over the same travel corridors and principal foraging areas
331 on a daily basis. The bottom part of Siqu violin plot is the narrowest, while top widest. In addition to
332 being sedentarized, households in Siqu had smaller extent of movement compared to Shomo, which
333 resulted in clear evidence of a recursive use pattern.



334

335 Figure 4. Violin plots of density of utilization (a) and distance from base camp locations (b) of each
 336 utilized raster cell within the movement extents of GPS-collared cows in the five study sites.

337 Density of utilization relative to distance from the base camp also differs vastly among study sites.

338 El Dima pastoralists practiced herding in places up to 30 km from base camps (Figure 4b). Similarly,

339 Taka Bulti pastoralists also herded livestock in places more than 25 km from base camps. In contrast,

340 pastoralists in other three sites herded livestock closer to their base camps. Despite Irbi pastoralists

341 practicing *forra* herding, they mostly set up satellite camps within 17 km from base camp. In addition, the

342 majority of herding revolved around base camps, resulting in a wide-bottom violin shape. The cases of

343 Shomo and Siqu were more similar to each other and clearly different from the other three sites. These

344 sedentarized pastoralists confined their movements to areas within 12 km of their base camps. However,
345 there were some differences between Shomo and Siqu. The heavily utilized rangelands in Siqu appeared
346 at about 2-km distance from base camp, while in Shomo it ranged from 4 km to 8 km.

347 Earlier models of spatial utilization of rangelands by pastoralists suggested distance from base
348 camp locations could be used as an important predictor of density of utilization. Areas farther away from
349 the base camp would be less likely to be used, indicating a central-place utilization pattern. However,
350 according to our OLS models, both significant positive and negative correlations between density of
351 utilization and distance from base camp can occur (Table 2). In four out of five sites, density of utilization
352 decreased as it got farther away from base camps. However, in El Dima, density of utilization was higher
353 at locations that are more distant from base camps. Nevertheless, the correlations did not seem to be linear
354 as evidenced by low R-squared values in the OLS models.

355 Table 2. Estimation of density of utilization using OLS models.

	Siqu		Shomo		Irbi		Taka Bulti		El Dima	
	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE
Intercept	6.63E+00	1.11E-02	6.29E+00	7.84E-03	6.27E+00	6.37E-03	5.54E+00	4.27E-03	5.44E+00	5.57E-03
Distance	-1.93E-01	2.31E-03	-1.26E-01	1.29E-03	-9.80E-02	9.70E-04	-4.99E-02	3.20E-04	5.22E-03	4.09E-04
R-sq	0.10		0.08		0.10		0.11		0.001	
AIC	207579.3		365758.9		306696.9		574688.9		379635.5	

356 Note: all estimates are significant at 0.001 level.

357

358 Table 3. Estimation of density of utilization using GAM.

	Siqu		Shomo		Irbi		Taka Bulti		El Dima	
	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE
Intercept	5.82E+00	5.42E-03	5.59E+00	3.25E-03	5.77E+00	3.69E-03	4.99E+00	2.38E-03	5.49E+00	3.31E-03
	edf	Ref.edf	edf	Ref.edf	edf	Ref.edf	edf	Ref.edf	edf	Ref.edf
s(Distance)	8.925	8.998	8.968	9	8.99	9	8.999	9	8.993	9
R-sq	0.11		0.12		0.20		0.17		0.08	
AIC	207166.9		359442.4		294774.4		562390.8		370274.5	

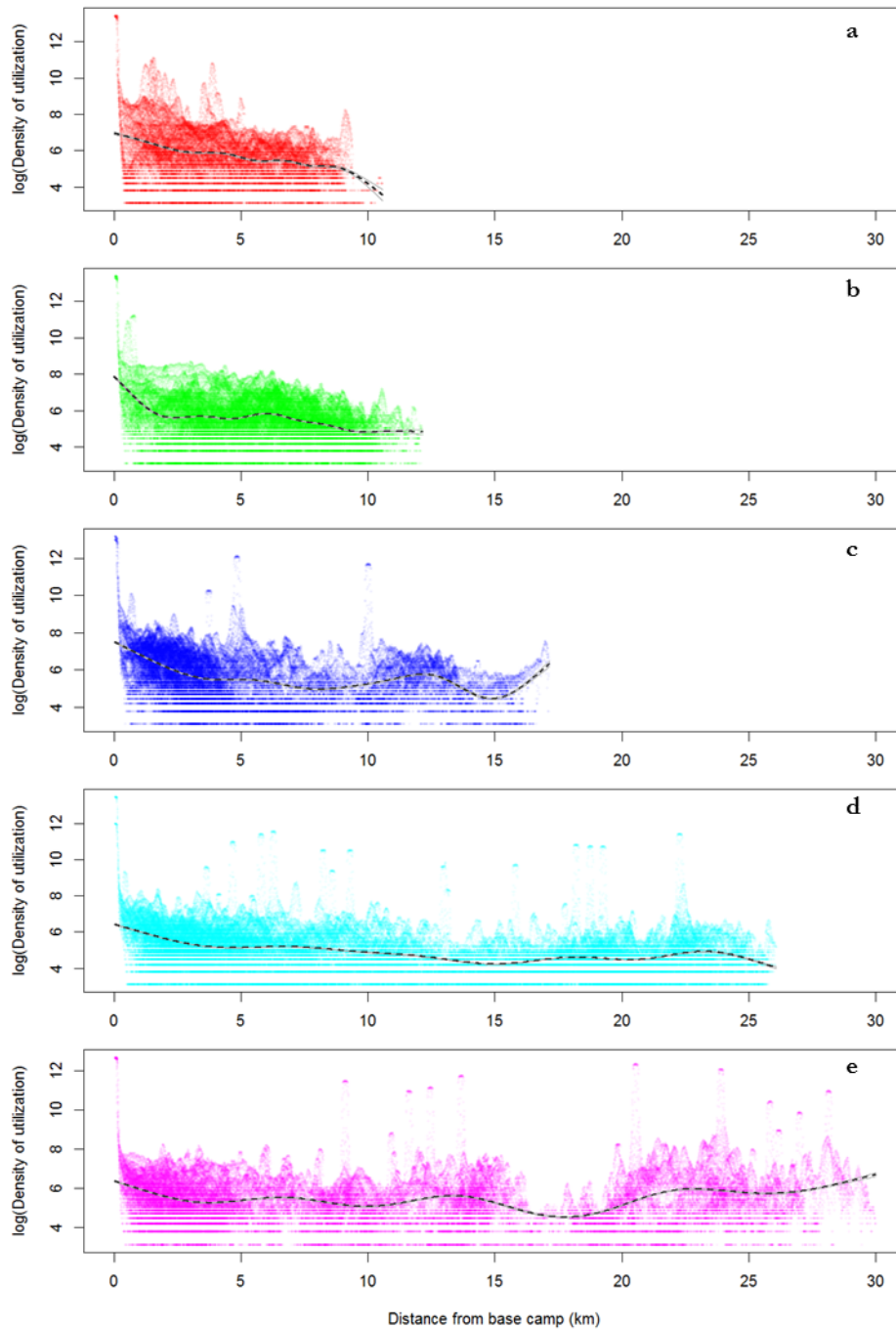
359 Note: all estimates are significant at 0.001 level.

360

361 In order to improve the prediction, we applied GAM, which can effectively smooth the predictor.
362 The results indicated distance was a significant predictor of density of utilization (Table 3). The adjusted
363 R-squared values in the GAM were higher than OLS models, and the AIC values of GAM were lower
364 than those in OLS models, indicating that GAM was generally a better fit than OLS. However, R-squared
365 values are still low in GAM, suggesting that distance from base camp can only partially explain the
366 variation in density of utilization within the extent of movement.

367 Predictions from GAM revealed that density of utilization exhibited a non-linear relationship with
368 distance from base camps, and the relationships are different across sites (Figure 5). In Siqu and Shomo,
369 density of utilization generally decreased as it became farther away from base camps within the extents of
370 movement, but there were some minor fluctuations along the distance gradient (Figure 5a and 5b). Since
371 pastoralists in these two sites only conducted *worra* herding, their utilization of rangelands rarely
372 appeared beyond 12 km from base camps.

373 In contrast, utilization of satellite camps in Irbi, Taka Bulti, and El Dima resulted in more
374 substantial fluctuations of density of utilization along the distance gradient. Camp relocation allowed Irbi
375 pastoralists to access resources up to 17 km from base camps (Figure 5c). In Taka Bulti, as pastoralists set
376 up satellite camps along the migration corridor until the most distant location, density of utilization
377 generally decreased along the distance gradient (Figure 5d). In El Dima where multiple satellite camps
378 were set up at strategic locations, there were substantial fluctuations in density of utilization along the
379 distance gradient (Figure 5e). There were little grazing opportunities at locations that were about 17 km
380 from base camps. However, density of utilization beyond 20 km was even higher than the locations near
381 base camps, and such trend reflected the increasing foraging opportunities presented in areas remote from
382 human settlement.



383

384 Figure 5. The relationship between density of utilization and distance from base camp locations in Siqu
 385 (a), Shomo (b), Irbi (c), Taka Bulti (d), and El Dima (e). The black dotted lines represent predicted
 386 density from GAM. The grey lines represent 95% confidence intervals from model prediction.

387

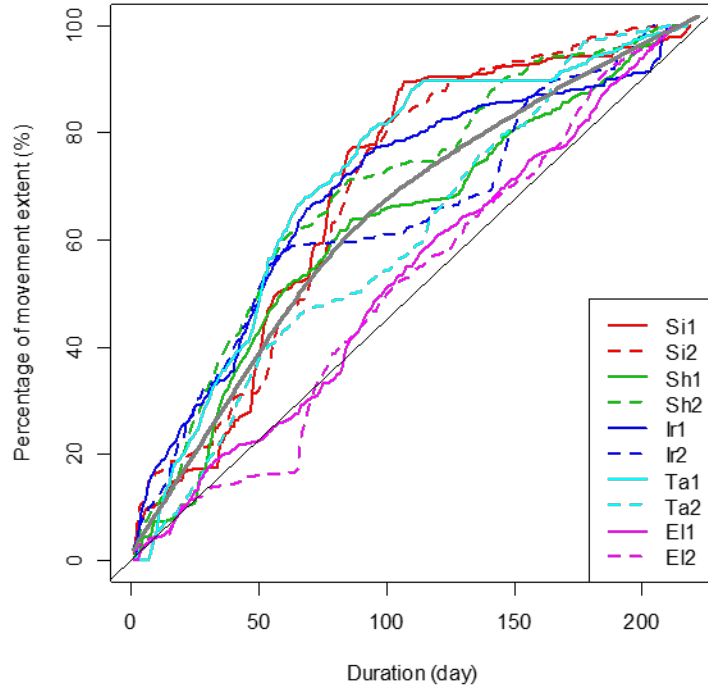
388 3.3. Recursive use of rangelands

389 We investigated the degree of recursive use of rangelands by livestock in the five study sites using GAM.
390 The results indicated cumulative movement extent increased over time, but there were clear differences in
391 the degree of recursive use across sites (Table 4). Specifically, there are two patterns in the cumulative
392 use of rangelands (Figure 6). First, there was an overall trend that the extent of movement expanded as the
393 tracking duration proceeded. Second, the rate of increase reduced as the tracking continued, suggesting
394 that it is likely to reach the maximum extent of movement after the 7-month tracking which included both
395 wet and dry seasons.

396 Table 4. Estimation of cumulative herding range using GAM.

Variables	Estimate	SE
Intercept	0.503	0.003
Irbi	0.155	0.005
Shomo	0.144	0.005
Siqu	0.180	0.005
Taka Bulti	0.143	0.005
s(duration)	Edf	Ref.df
Duration	5.711	6.865

397 Note: all estimates are significant at 0.001 level.



398

399 Figure 6. Movement extent and its relationship with duration of tracking. The grey curve represents
 400 prediction from GAM. The diagonal line represents perfect non-recursive use of rangelands that for every
 401 unit of duration, movement extent increases by one unit. The closer the plotted curves to the diagonal line,
 402 the less likely recursive utilization will occur.

403 Pastoralists in El Dima exhibited the lowest degree of recursive use. As illustrated in Figure 5, the
 404 relationship between cumulative movement extent and tracking duration in El Dima is the closest to the
 405 perfectly non-recursive use pattern denoted by the 45° diagonal line on the plot. This result suggests El
 406 Dima households were constantly searching out and using new foraging areas throughout the tracking
 407 period. Despite the greater movement extent mentioned above, Taka Bulti pastoralists showed a higher
 408 degree of recursive use that those in El Dima, the reference site in the GAM. This recursive use was likely
 409 because Taka Bulti pastoralists had to return to places closer to permanent water facilities at the end of the
 410 dry season when surface water sources in their *forra* grazing lands had been exhausted. Other sites

411 showed even higher degree of recursive use. The highest was observed in Siqu, largely because of its
412 constrained movement extent.

413 The above results also suggest that extent of movement alone may not determine the degree of
414 recursive use. Pastoralists in El Dima and Shomo exhibited similar movement extents but demonstrated
415 very different levels of recursive use of rangelands. Differences in herding strategies between these two
416 sites can explain the observed differences in recursive use. Pastoralists in El Dima were engaged in an
417 extensive form of *forra* herding, while those in Shomo practiced only *worra* herding. These differences in
418 recursive use were clearly evidenced in the spatial distribution of utilization density (Figure 3). In El
419 Dima, pastoralists used multiple small patches of rangelands, which were connected by lightly-used
420 migration routes. In contrast, the Shomo pastoralists attempted to mitigate the disadvantages of giving up
421 *forra* herding by dispersing their livestock in a larger patch of distant rangeland by following fixed
422 corridors between base camps and principal foraging areas. Therefore, the mobility strategy seems to play
423 a more important role in determining the level of recursive use of rangelands.

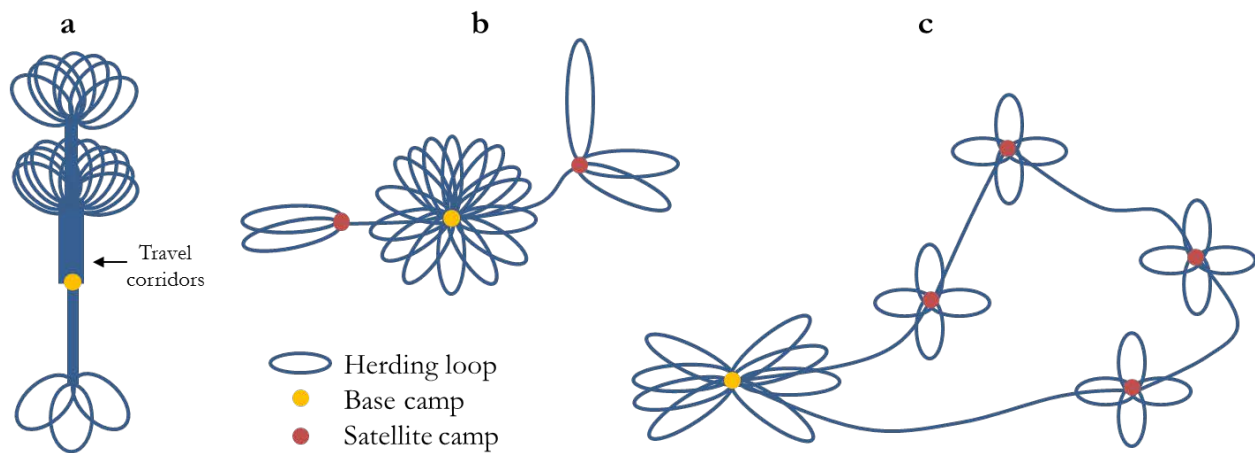
424

425 **4. Discussion**

426 The spatial rangeland utilization strategies of pastoralists on ASAL landscapes of the Horn of Africa are
427 demonstratively more complex than suggested by the central-place model and others. In our study,
428 intensive GPS-tracking of cattle herds across seasons and at multiple study sites revealed a complexity in
429 daily and seasonal movement and camp-relocation patterns not captured in previous pastoral mobility
430 research. We addressed the challenge of accurately interpreting herding strategies from GPS-tracking data
431 by conducting multiple rounds of interviews and mapping sessions with participant households. We found
432 the integration of GPS-tracking and direct input from pastoralists essential for a comprehensive study of
433 pastoral mobility and resource-use patterns. Our research was conducted in five study sites which
434 contained sufficient socio-environmental variations in the herding contexts across the Borana Zone of

435 southern Ethiopia. Consequently, the results revealed pastoral mobility patterns that can be generalized
436 across a broader region in the Horn of Africa and, perhaps, other ASAL pastoral regions of the world.

437 Our research findings indicated the mobility patterns exhibited by Boran pastoralists can be quite
438 diverse. The spatial utilization patterns we observed, however, did not fit well into any existing
439 conceptual models of pastoral mobility (Figure 1). Therefore, we proposed a suite of three new pastoral
440 mobility models which we believe captures the variability in space use by Boran pastoralists (Figure 7).



441

442 Figure 7. Three conceptual models of pastoral mobility patterns in Borana, Ethiopia.

443 The first one is a restrictive herding model, which primarily involves linear movement between
444 base camp and the principal foraging areas (Figure 7a). This model describes the *worra*-only herding
445 strategy practiced by pastoralists in Siqu and Shomo. Due to the existence of villages, crop fields, and
446 community rangeland reserves around settlement areas, pastoralists must herd their livestock at a
447 considerable distance away from base camp to find adequate forage. Given these general constraints
448 associated with sedentarized communities, fixed travel corridors were developed between base camps and
449 principal foraging areas, and these corridors could be 3 km or more in length. Due to the lack of foraging
450 opportunities along these corridors, cattle were typically herded at a relatively fast pace on route to the
451 foraging areas in the mornings and back to base camp in the evenings. Because of relatively high

452 population densities and consequent competition for resources among neighboring households, these
453 foraging areas tend to be quite constrained in size and their boundaries are fairly rigid.

454 The second model in this suite is a semi-extensive herding model, which involves the use of both
455 base and satellite camps (Figure 7b). Although pastoralists may have more than one satellite camp
456 location at their disposal, they usually do not move directly between these camps. Instead, before
457 relocating to another satellite camp, they usually spend some time back at the base camp. The mixture of
458 *worra* and *forra* herding strategies observed in Irbi fits into this semi-extensive model. Lower settlement
459 density and higher resource availability near base camps allowed pastoralists to herd livestock in foraging
460 orbits rather than following heavily-used travel corridors to and from fixed foraging areas as would be
461 observed under the restrictive herding model. Under the semi-extensive model, *forra* herding from
462 satellite camps was practiced when resources around settlements become temporarily depleted. The use of
463 a satellite camp allowed forage near settlement areas time to recover and thus become available for use
464 when the base camp was revisited.

465 The third model is an extensive herding model, which is founded on a distributed network of
466 satellite camps (Figure 7c). This model best characterizes the mobility patterns observed in Taka Bulti
467 and El Dima. Similar to the semi-extensive model, pastoralists under the extensive herding model used
468 foraging orbits rather than fixed travel routes while herding around base camps. However, in contrast to
469 the semi-extensive model, pastoralists operating under this model moved directly from one satellite camp
470 to the next without an intermediate stop over at their base camps. Pastoralists generally kept their herds at
471 their satellite camps for a large proportion of time in the year, and their extents of movement were
472 typically larger than those under the other two models. Due to relatively low population densities and less
473 competition for resources among pastoralists, the foraging areas under this model tend to be larger in size
474 and their boundaries are fairly flexible.

475 Our observed relationship between density of utilization and distance from base camp disputes the
476 simplistic prediction made by the central-place model or piosphere model. While in four out of five study

477 sites, results from OLS modeling suggests negative relationship between distance from settlement and
478 density of utilization, in El Dima, there is a slight positive correlation between these two variables. The
479 result from GAM, which represented a better fit, suggested the relationships are largely non-linear. The
480 peaks and valleys on predicted curves from GAM showed evidence of multiple grazing opportunities
481 along the distance gradient from base camps, especially in sites where camp relocation was practiced. In
482 addition, as revealed in Figure 3, the directionality of rangeland utilization relative to base camp was not
483 uniform as assumed in previous studies. Pastoralists in our study demonstrated clear directionality
484 preferences in their daily herd movements relative to camp locations. Therefore, pastoral mobility
485 patterns in the Borana Zone are much more complex than can be described by a linear model assuming
486 uniform directionality of utilization pressure.

487 Recursive heavy livestock use is one of the most important contributors to rangeland degradation
488 (Vetter, 2005). While the extent of movement is certainly an important factor that determines the degree
489 of recursive use, the herding strategy adopted by pastoralists also plays a crucial role to mitigate
490 overgrazing. Although pastoralists practicing either restrictive or extensive herding strategies may
491 demonstrate similar extent of movement, extensively herded livestock exhibit less recursive use because
492 they move in herding orbits that aim to avoid overlapping with previous use. Conversely, resource use
493 under the restrictive strategy tends to be intensely recursive along fixed travel corridors and at the
494 principal foraging areas.

495 It is also important to recognize that pastoral mobility is inherently complex and resistant to
496 simplistic prediction. Both converging and diverging mobility patterns are observed in the five study
497 sites, which result from many interacting factors at different scales. The distribution and availability of
498 water and forage resources can greatly influence pastoral mobility (Adriansen & Nielsen, 2002). Similar
499 herding strategy practiced by different households in the same community, as shown in the case of El
500 Dima, is primarily a response to the spatio-temporal variation of forage availability within the extent of
501 movement. At the household level, labor availability, composition of livestock species in the herd, and

502 wealth status can also affect how pastoralists make use of rangelands (Boru et al., 2014), which is
503 reflected in the divergent herding strategies adopted by the two pastoralist households in Taka Bulti.
504 Although rare, ethnic conflicts resulting in livestock rustling and even violence can also constrain
505 mobility and increase competition for the remaining grazing resources (Kaimba et al., 2011; Tache &
506 Oba, 2009).

507 Understanding pastoral mobility patterns can be potentially improved by extending the duration of
508 GPS-tracking studies. Although our tracking period covered both wet and dry seasons, the extent of
509 movement in some cases continued to increase even at the end of the tracking period, albeit at a slower
510 rate (Figure 6). It is likely that mobility may have cyclic patterns extending beyond our study duration,
511 which is beyond the results that can be revealed based on 7-month tracking data. Our GPS-tracking was
512 implemented during normal precipitation conditions, but it is crucial to understand whether sedentarized
513 pastoralists will adopt camp relocation as a coping strategy when extreme drought hits the pastoral
514 system. Therefore, a longer, multi-year study is needed to fully understand and articulate the nature of
515 mobility patterns in the ASAL environment.

516

517 **5. Policy Implications**

518 Our research findings shed light on the complexity of mobility strategies employed by pastoralists under
519 the varying socio-environmental situations across the Borana Zone of southern Ethiopia. This information
520 is critically needed for the design of effective management policies, which can facilitate sustainable
521 utilization of rangelands by pastoralists and their livestock. It has long been recognized that the key to
522 successful herd management in ASAL environments is the freedom of movement (Niamir-Fuller, 1999),
523 which promotes continuous redistribution of livestock grazing pressure across broad landscapes, thereby
524 reducing the chance of overgrazing and rangeland degradation. However, given a projected warmer and
525 drier climate (Funk et al., 2008) and a surge in human population in the Borana Zone (Coppock, 2016),
526 the future of pastoral livelihoods and their associated need for mobility is indeed complicated. On the one

527 hand, drier climate necessitates extensive movement as a coping strategy. On the other hand, population
528 increases continue to reduce the availability of grazing lands per capita, which constrains livestock
529 movement. And if climate change brings increased incidence of drought, it could reduce typical
530 household herd size and induce a significant shift in herd species composition (Barrett & Santos, 2014).
531 Therefore, pastoral policy-making needs to account for this existing complexity and be flexible enough to
532 accommodate increased complexity as climate-change predictions unfold.

533 We recommend that future pastoral policy making should prioritize the maintenance and
534 protection of the herding sector so crucial to the livelihoods of Boran pastoralists. Planning and
535 development of crop fields and rangeland reserves should avoid impinging on the directionality options
536 for livestock herd movement. This kind of consideration and forethought could reduce the potential for
537 recursive livestock use and establishment of heavily-impacted travel corridors. In cases where
538 sedentarization is being and will be voluntarily adopted by pastoralists, policy-makers should allow
539 pastoralists to herd livestock within a movement extent that is expansive enough to effectively distribute
540 grazing pressure throughout the landscape. It is crucial to limit the density of settlement clusters and
541 prevent or slow the contraction of available grazing areas to the point where heavy and recursive use of
542 rangelands begins to occur. At a broader spatial scale, grazing resource-sharing agreements among
543 pastoral communities based on the principle of reciprocity need to be promoted and facilitated as a
544 strategy to cope with drought (Kamara et al., 2005). Policies which remove impediments to these
545 agreements would allow drought-stricken pastoralists to migrate to distant, less impacted lands and then
546 reciprocate in turn by sharing their grazing lands with migrant herds. These agreements would foster
547 extensive herd movement patterns that are similar to those illustrated under our third conceptual model at
548 a broad scale (Figure 7c). This increased herd mobility would lessen the stresses of excessive and
549 recurrent herbivory on drought-impacted vegetation, allow more rapid recovery of plant vigor, and
550 decrease mortality losses. Combined efforts to enhance fine- and broad-scale herd mobility can help
551 enhance pastoral system resilience and make pastoralists more prepared to cope with the challenges of

552 overpopulated rangelands, tendencies toward sedentarization, and increases in drought stress brought on
553 by climate change.

554

555

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562

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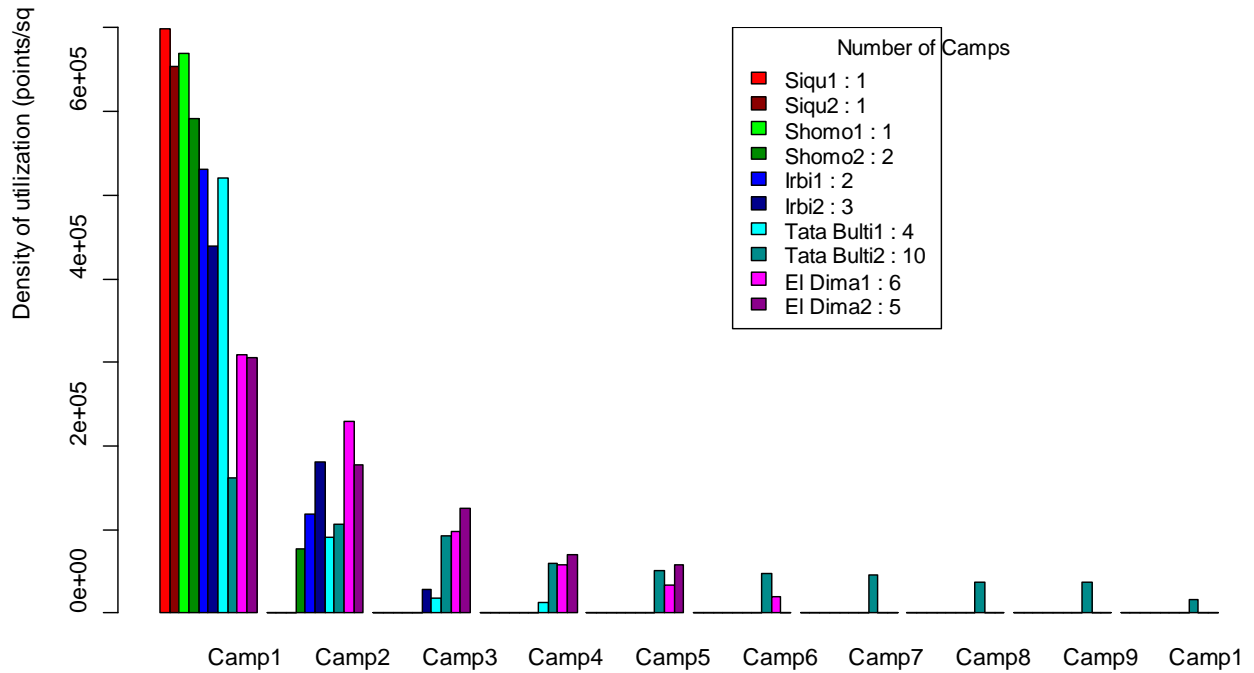
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671



672

673 Figure S1. Density of utilization at each camp location of ten cows. Pastoralists in Siqu and Shomo
 674 herded their around settlement (Shomo2 used two camps, but the relocation was within the village), while
 675 those in Irbi, Taka Bulti and El Dima were engaged in camp relocation. Base camp (Camp 1) showed
 676 higher density of utilization, while satellite camps (Camp 2-10) were associated with lower density. In
 677 general, the more number of camps used by a cow, the lower the density of utilization will be at the base
 678 camp.